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12-27-02

TMI-103



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

T. DOKI

Serial No. 09/857,043

Group Art Unit: 2643

Filed: May 31, 2001

For: SERVICE UTILIZATION ID NUMBER SETTLEMENT SYSTEM

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INFORMATION DISCLOSURE STATEMENT (IDS)

UNDER § 1.97 AND § 1.98

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Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

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3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed.

4. The undersigned hereby states:

☒ (a) that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application, which communication was dated not more than three months prior to the filing of this IDS; or

☐ (b) that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.

5. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

6. No explanation of relevancy is being provided for the documents because each is in the English language or has an English language Abstract.

7. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,



Shrinath Malur
Registration No. 34,663

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Date: December 16, 2002

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. TMI-103	SERIAL NO. 09/857,043
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT T. DOKI	
		FILING DATE May 31, 2001	GROUP 2643

U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
AA	5,845,259	12/01/98	West et al			
AB	5,249,044	09/28/93	Von Kohorn			
AC	5,761,648	06/02/98	Golden et al			
AD	5,668,950	09/16/97	Kikuchi et al			
AE	5,673,309	09/30/97	Woynoski et al			
AF						
AG						
AH						
AI						
AJ						
AK						

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FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	10-302126	11/13/98	Japan (Abstract only)			<input type="checkbox"/>	<input type="checkbox"/>
AM	725376 A2	08/07/96	Europe			<input type="checkbox"/>	<input type="checkbox"/>
AN						<input type="checkbox"/>	<input type="checkbox"/>
AO						<input type="checkbox"/>	<input type="checkbox"/>
AP						<input type="checkbox"/>	<input type="checkbox"/>

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR	R. Tanner, "A New Dimension in Marketing", PROGRESSIVE GROCER, May 1987, Vol. 66, No. 5, pp. 133-134 and 136.
AS	
AT	

EXAMINER	DATE CONSIDERED
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.